

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Scott W. Corzine, et al.

Serial No.:

Examiner:

Filing Date:

Group Art Unit:

Title: DISTRIBUTED BRAGG REFLECTOR AND METHOD OF FABRICATION



COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449. All the references identified herein were disclosed in Patent Application No. _____ filed _____, now U. S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Typed Name: *Pamela K Kerr*

Signature: *Pamela K Kerr*

Respectfully submitted,

Scott W. Corzine, et al.

By *Steven R. Greenfield*

Steven R. Greenfield

Attorney/Agent for Applicant(s)
Reg. No. 38,166

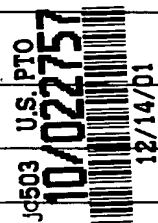
Date:

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	10010430-1	
	APPLICANT Scott W. Corzin , et al.	
	FILING DATE	GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
	1A			
	1B			
	1C			
	1D			
	1E			
	1F			
	1G			
	1H			
	1I			
	1J			
	1K			



FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Article from the 13th European Conference on Solid-State Transducers, September 12-15, pp. 519-522, entitled Tunable Fabry-Perot filter as an Application of a Micro Opto Electro Mechanical System Based on III-V Semiconductor Material
1R	Article from the 11th International Conference on Indium Phosphide and Related Materials, May 16-20, 1999, pp. 285-287, entitled Tunable INP/AIR Gap Fabry Perot Filter for Wavelength Division Multiplex Fiber Optical Transmission
1S	Article from Electronic Letters, July 18th, 1996, Vol. 32, No. 15, pp. 1369-1370, entitled 1.26micrometer vertical cavity laser with two InP/air-gap reflectors by K. Streubel, S. Rapp, J. Andre and N. Chitica

EXAMINER	DATE CONSIDERED
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